

Outstanding support for regulatory compliance product



About Insight Regulatory & Cerberus

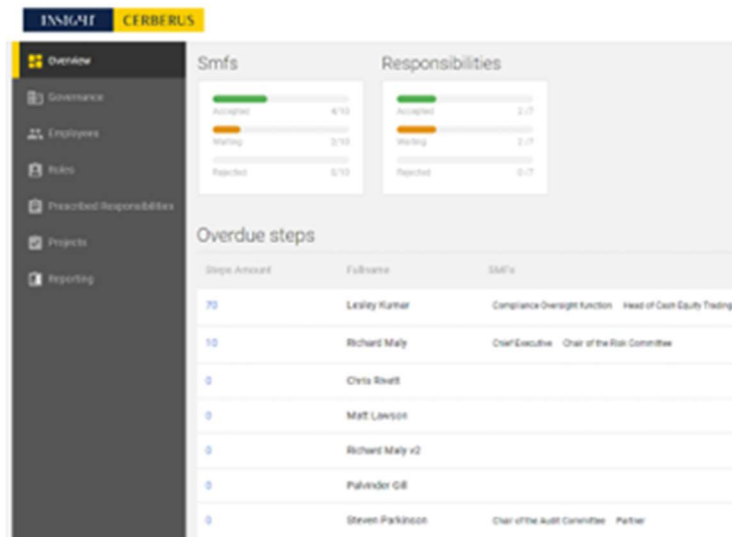
Insight Regulatory LLP was set up in 2018 to provide large companies in the financial services and banking sector with practical, pragmatic and effective compliance, risk and prudential regulatory advice. This is based on over 50 years of the combined experience as regulators and in-house experts of the founding partners Lesley Kumar and Palvinder Gil gained at organisations including Bank of America and the Financial Service Authority (FSA). They are particularly focused on helping clients put in place the right governance, processes and reporting to ensure they remain compliant at all times with stringent UK and global regulations and rules regarding financial transactions and trading. As a result they have created their [Cerberus Platform](#) which is designed to help financial institutions remain fully compliant with the Senior Managers and Certification Regime ([SMCR](#)) rules. The platform provides:

- Real time compliance activity monitoring
- Detailed compliance reporting for user with audits and regulators
- Simplification and control of staff certification
- Responsibility and governance mapping and tracking

All this is delivered through a simple and intuitive UI tailored to the specific internal structures and processes used by financial services companies.

The Challenge

Having created an initial MVP of the Cerberus Platform using overseas development resource Insight were now looking for a UK partner who could deploy, operate, support and further develop the solution in response to customer requirements. This would need to be done in a highly secure way given the sensitive nature of the data being generated on the platform as well as being robust and scalable to support any users across multiple financial services clients. Insight were also looking to further enhance the platform with a process modelling and automation capability so that it could help clients track many instances of complex compliance processes such as transaction reporting. Insight were introduced to Maly who's [Okuda](#) tool had the potential to address the complex compliance process requirement, however the Maly team also had the skills and proven track record to be able to deploy and operate a platform of this type for major corporate clients.



The Solution

Maly we're able to get to grips with the Cerberus MVP, understand its design and code base and architect a solution to host it securely on AWS. Having deployed it they then put in place the operational and support services required to make it robust and reliable solution to demonstrate to potential clients of Insight. Maly also developed a parallel "Sandpit" version of the Cerberus Platform which they used to develop and test range of new features and capabilities for insight such as additional audit reporting, improved email certification and improved notifications of overdue compliance steps.

The Result

Insight now had a robust and reliable first version of their Cerberus product that they could demonstrate to their financial service customers as well as an expert technical team in place to be able to support any proof of concepts or implementations that they secured as a result of their business development activities. Furthermore they had had a product development roadmap (with supporting testbed environment) Cerberus including planned multi-tenancy so it can support multiple corporate clients (and many concurrent users) simultaneously and future integration with Maly's Okuda tool to bring low code process modelling and automation.

Customer Feedback

"We're very happy with what Maly have done for us and have a great working relationship. Maly work at our pace and have the technical know-how that we needed to upgrade our software product. They have great experience and are very responsive to our needs and have taken the time to understand our product and improve it. We have access to all the experience in the team and are great to deal with on a personal level. We trust Maly to do what we need and provide advice on the best way forward."

Lesley Kumar & Palvinder Gil, Partners & Co-Founders, Insight Regulatory